

FACSIMILE: (212) 391-0525  
(212) 391-0526  
(212) 391-0630

GERALD W. GRIFFIN  
IVAN S. KAVRUKOV  
PETER D. MURRAY  
THOMAS G. CARULLI  
WILLIAM E. PELTON  
DONALD S. DOWDEN  
PETER J. PHILLIPS  
WENDY E. MILLER  
ALBERT WAI-KIT CHAN  
LEWIS J. KREISLER  
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MATTHEW B. TROPPER  
KRISTINA L. KONSTAS  
MARK S. COHEN  
REGINA M. AMBERY  
ADRIANA M. BUSANI  
GERARD M. WISSINO

OF COUNSEL  
JOHN N. COOPER  
THOMAS F. MOHAN

SCIENTIFIC ADVISORS  
NATHAN R. LETTS, PH. D.  
A. DAVID JORAN, PH. D.  
ADRIAN G. LOONEY, PH. D.  
JANE M. LOVE, PH. D.  
CHARLES C. ACKER, PH. D.  
LOUK SEE YU-JAHNES, PH. D.

PATENT AGENT  
VICTOR DEVITO, B. S. E. S.

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TO : Examiner Julie Staples

GROUP ART UNIT: 1802

FACSIMILE NO.: 703-305-7939

FROM : Albert Wai-Kit Chan, Esq./PL

TOTAL NUMBER OF PAGES, INCLUDING COVER PAGE: 11

DATE : December 15, 1995 TIME: \_\_\_\_\_

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**MESSAGE:**

Third Preliminary Amendment in connection with GANGLIOSIDE-KLH CONJUGATE VACCINES PLUS QS-21, U.S. Serial No. 08/477,097, filed June 7, 1995 including transmittal letter in triplicate and facsimile certificate of transmission dated December 15, 1995.

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In re application of: Philip O. Livingston et al.

Serial N .: 08/477,097

Examiner: Julie Staples

Filed: June 7, 1995

Group Art Unit: 1802

For: GANGLIOSIDE-KLH CONJUGATE VACCINES PLUS QS-21

December 15, 1995

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

S I R:

Transmitted herewith is an amendment to the above-identified application.

Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.

a verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27 is enclosed.

X No additional fee is required, other than the \$77.00 for the seven additional claims.

The filing fee is calculated as follows:

	NUMBER AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	NUMBER OF EXTRA CLAIMS PRESENTED		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	29	* 22	*** 7	X	11	22	77	--
Independent Claims	1	** 1	*** 0	X	39	78	0	--
Multiple Dependent Claims(s) Presented <u>    </u> Yes <u>X</u> No For First Time:					125	250	77	--
					TOTAL ADDITIONAL FEE		\$ 77	

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Applicants: Philip O. Livingston et al.  
Serial No.: 08/477,097  
Amendment Transmittal Letter  
Page Two

The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

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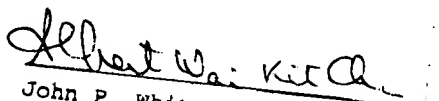
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☒ Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,



John P. White  
Registration No. 28,678  
Albert Wai-Kit Chan  
Registration No. 36,479  
Attorneys for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400